

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10622146
Filing Date		2003-07-16
First Named Inventor	Tom McCarthy	
Art Unit	3621	
Examiner Name	TBA	
Attorney Docket Number	03-637	

U.S.PATENTS

[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6025868		2000-02-15	Russo et al.	
	2	5003384		1991-03-26	Durden et al.	
	3	5884284		1999-03-16	Peters et al.	
	4	5729549		1998-03-17	Kostreski et al.	
	5	6002393		1999-12-14	Hite et al.	
	6	6029046		2000-02-22	Khan et al.	
	7	6642939		2003-11-04	Vallone et al.	
	8	6598230		2003-07-22	Ballhorn	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10622146
Filing Date		2003-07-16
First Named Inventor	Tom McCarthy	
Art Unit		3621
Examiner Name	TBA	
Attorney Docket Number		03-637

	9	4864614		1989-09-05	Crowther	
	10	6020880		2000-02-01	Naimpally	
	11	5724521		1998-03-03	Dedrick	
	12	6698020		2004-02-24	Zigmond et al.	
	13	4972396		1990-11-20	Rafner	
	14	5619247		1997-04-08	Russo	
	15	6701060		2004-03-02	Yuen et al.	
	16	5899582		1999-05-04	DuLac	
	17	5729279		1998-03-17	Fuller	
	18	5572442		1996-11-05	Schulhof et al.	
	19	5477263		1995-12-19	O'Callaghan et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10622146
Filing Date	2003-07-16
First Named Inventor	Tom McCarthy
Art Unit	3621
Examiner Name	TBA
Attorney Docket Number	03-637

20	5440336		1995-08-08	Buhro et al.	
21	5351075		1994-09-27	Herz et al.	
22	5133079		1992-07-21	Ballantyne et al.	
23	4885775		1989-12-05	Lucas	
24	4995078		1991-02-19	Monslow et al.	
25	4528589		1985-07-09	Block et al.	
26	4506387		1985-03-19	Walter	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020196941		2002-12-26	Isaacson Shawn Ray et al.	
	2	20010056578		2001-12-27	Hwang et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10622146
Filing Date		2003-07-16
First Named Inventor	Tom McCarthy	
Art Unit		3621
Examiner Name	TBA	
Attorney Docket Number		03-637

FOREIGN PATENT DOCUMENTS								Remove
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	EP1100266	EP		2001-05-16	Hughes Electronics		<input type="checkbox"/>
	2	WO2005/010694	WO		2005-02-03	Digital Networks North America, Inc.		<input type="checkbox"/>
	3	WO2005/062975	WO		2007-03-29	Digital Networks North America, Inc.		<input type="checkbox"/>
	4	WO2000/58833	WO		2000-10-05	Tivo, Inc.		<input type="checkbox"/>
	5	WO2001/06370	WO		2001-01-25	Tivo, Inc.		<input type="checkbox"/>
	6	WO2001/22729	WO		2001-03-29	Tivo, Inc.		<input type="checkbox"/>
	7	WO2000/28736	WO		2000-05-18	Tivo, Inc.		<input type="checkbox"/>
	8	WO2000/62298	WO		2000-10-19	Tivo, Inc.		<input type="checkbox"/>
	9	WO2000/58967	WO		2000-10-05	Tivo, Inc.		<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10622146
Filing Date		2003-07-16
First Named Inventor	Tom McCarthy	
Art Unit		3621
Examiner Name	TBA	
Attorney Docket Number		03-637

	10	WO2000/18108	WO		2000-03-30	Tivo, Inc.		<input type="checkbox"/>
	11	WO2001/47249	WO		2001-06-28	Tivo, Inc.		<input type="checkbox"/>
	12	WO2000/62299	WO		2000-10-19	Tivo, Inc.		<input type="checkbox"/>
	13	WO2000/62533	WO		2000-10-19	Tivo, Inc.		<input type="checkbox"/>
	14	WO2001/47238	WO		2001-06-28	Tivo, Inc.		<input type="checkbox"/>
	15	WO00/67475	WO		2000-11-09	Tivo, Inc.		<input type="checkbox"/>
	16	WO2001/46843	WO		2001-06-28	Tivo, Inc.		<input type="checkbox"/>
	17	WO2000/58834	WO		2000-10-05	Tivo, Inc.		<input type="checkbox"/>
	18	WO2001/65762	WO		2001-09-07	Tivo, Inc.		<input type="checkbox"/>
	19	WO1999/52279	WO		1999-10-14	Tivo, Inc.		<input type="checkbox"/>
	20	WO2000/07368	WO		2000-02-10	Tivo, Inc.		<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10622146
Filing Date		2003-07-16
First Named Inventor	Tom McCarthy	
Art Unit	3621	
Examiner Name	TBA	
Attorney Docket Number	03-637	

21	WO2001/65862	WO		2001-09-07	Tivo, Inc.		<input type="checkbox"/>
22	WO2001/89203	WO		2001-11-22	Tivo, Inc.		<input type="checkbox"/>
23	WO2000/59214	WO		2000-10-05	Tivo, Inc.		<input type="checkbox"/>
24	WO2004/100550	WO		2004-11-18	Digital Networks North America, Inc.		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10622146
Filing Date	2003-07-16
First Named Inventor	Tom McCarthy
Art Unit	3621
Examiner Name	TBA
Attorney Docket Number	03-637

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Bradley J. Hulbert/	Date (YYYY-MM-DD)	2007-07-11
Name/Print	Bradley J. Hulbert	Registration Number	30130

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.